

01-19-01

AP/1723 ✓  
\$

AMENDMENT TRANSMITTAL  
Attorney Docket No: MDO-2471-U-D1

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below in an envelope as "Express Mail Post Office to Addressee" mailing Label Number ET052806242US addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

*Rhonda Goodloe*  
Signature of Depositor

Rhonda Goodloe  
Print Name of Depositor

Date: January 16, 2001

## IN THE UNITED STATES

## PATENT AND TRADEMARK OFFICE

Confirmation # 2221

Applicant: MUKHOPADHYAY, Debasish

Serial No.: 09/243,237

Filed: 02/02/99

Title: HIGH PURITY WATER PRODUCED  
BY REVERSE OSMOSIS

Art Unit: 1723

Examiner: Fortuna, A.

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
JAN 23 2001  
TECHNOLOGY CENTER 1700

**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.

**STATUS**

2. Applicant is

☐ a small entity. A statement:

☐ is attached.  
☐ was already filed.

☒ other than a small entity.

**EXTENSION OF TERM**

3. The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

*(complete (a) or (b), as applicable)*

- (a) ☒ Applicant petitions for an extension of time under 37 CFR § 1.136 (fees: 37 CFR § 1.17(a)(1)-(4) for the total number of months checked below.

EXTENSION (months)	FEE FOR OTHER THAN SMALL ENTITY	FEE FOR SMALL ENTITY
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$ 195.00
<input checked="" type="checkbox"/> three months	\$ 890.00	\$ 445.00
<input type="checkbox"/> four months	\$ 1,390.00	\$ 695.00

**FEE: \$890.00**

If an additional extension of time is required, please consider this a petition therefore.

*(check and complete the next time, if applicable)*

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefore of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request: \$\_\_\_\_\_

**OR**

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

**FEE FOR CLAIMS**

4. The fee for claims (37 CFR § 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY			
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE		ADDITIONAL FEE
TOTAL		MINUS	=	x \$ 9	=	\$
INDEP.		MINUS	=	x \$40	=	\$
<input type="checkbox"/>	FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+ \$135	=	\$
			TOTAL ADDITIONAL FEE			\$

(complete (c) or (d), as applicable)

(c) ☒ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required \$\_\_\_\_\_.

**FEE PAYMENT**

5. ☒ Attached is a check in the sum of \$890.00.

☐ Charge Account No. 07-1613 the sum of \$\_\_\_\_\_.

☐ A duplicate copy of this transmittal is attached.

**FEE DEFICIENCY**

☒ If any additional extension and/or fee is required, charge Account No. 07-1613.

AND/OR


☒ If any additional fee for claims is required, charge  
Account No. 07-1613.

Date: January 16, 2001

Phone: 253-859-9128

Fax: 253-859-8915

Customer  
No.: 20793

  
SIGNATURE OF ATTORNEY

R. Reams Goodloe, Jr.  
Reg. No. 32,466

Suite 3  
10725 - S.E. 256<sup>th</sup> Street  
Kent, Washington  
98031-6426

Please type a plus sign (+) inside this box

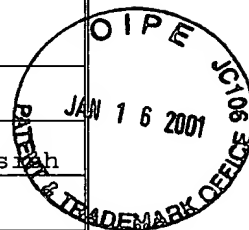
+

+

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/21 (6-98)

<b>TRANSMITTAL FORM</b>	Application Number	09/243,237
	Confirmation Number	2221
	Filing Date	02/02/99
	First Named Inventor	MUKHOPADHYAY, Debashish
	Group Art Unit	1723
	Examiner Name	Fortuna, A.
No. Pages in this submission	Attorney Docket No.	MDO-2471-U-D1



**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached		
<input checked="" type="checkbox"/> Amendment/Response	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing - related papers	
<input type="checkbox"/> Affidavits/Declaration		
<input checked="" type="checkbox"/> Extension of Time Requests (3 months)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Appeal Communication to Group
		<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (Form 1449 with disclosed art)	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Additional Enclosures identify below:
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Stmt.	*Amendment Transmittal; *Transmittal of Information Supplemental Disclosure Statement
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Return Receipt Card	
	<input type="checkbox"/> Remarks	

Firm or Individual Name

R. Reams Goodloe, Jr.

Reg. No.: 32,466

Signature

Date

January 16, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as Express Mail, [express mail label ET052806242US] in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: January 16, 2001

Typed or printed name:

RHONDA GOODLOE

Signature

Date

01/16/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2001</h3> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision</p>		<b>Complete if Known</b>	
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 1,070.00		Application Number	09/243,237 Confirm. # 2221
		Filing Date	02/02/99
		First Named Inventor	Mukhopadhyay, Debasish
		Examiner Name	Fortuna, A.
		Group / Art Unit	1723
		Attorney Docket No.	MDO-2471-U-D1

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 07-1613</p> <p>Deposit Account Name: R. Reams Goodloe, P.S.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17      Applicant claims small entity status 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>	<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139 130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for <i>ex parte</i> reexam.</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216 195 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217 445 Extension for reply within third month</td><td>890.00</td></tr> <tr><td>118</td><td>1,390</td><td>218 695 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228 945 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219 155 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220 155 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221 135 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241 620 Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242 620 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243 220 Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244 300 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123 50 Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126 180 Submission of Information Disclosure Stmt</td><td>180.00</td></tr> <tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246 355 Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249 355 For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279 355 Request for Continued Examination (RCE)</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____</p>	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath		127	50	227 25 Surcharge - late provisional filing fee or cover sheet.		139	130	139 130 Non-English specification		147	2,520	147 2,520 For filing a request for <i>ex parte</i> reexam.		112	920*	112 920* Requesting publication of SIR prior to Examiner action		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action		115	110	215 55 Extension for reply within first month		116	390	216 195 Extension for reply within second month		117	890	217 445 Extension for reply within third month	890.00	118	1,390	218 695 Extension for reply within fourth month		128	1,890	228 945 Extension for reply within fifth month		119	310	219 155 Notice of Appeal		120	310	220 155 Filing a brief in support of an appeal		121	270	221 135 Request for oral hearing		138	1,510	138 1,510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidable		141	1,240	241 620 Petition to revive - unintentional		142	1,240	242 620 Utility issue fee (or reissue)		143	440	243 220 Design issue fee		144	600	244 300 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Petitions related to provisional applications		126	180	126 180 Submission of Information Disclosure Stmt	180.00	581	40	581 40 Recording each patent assignment per property (times number of properties)		146	710	246 355 Filing a submission after final rejection (37 CFR 1.129(a))		149	710	249 355 For each additional invention to be examined (37 CFR 1.129(b))		179	710	279 355 Request for Continued Examination (RCE)	
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SUBMITTED BY			Complete (if applicable)	
Typed or Printed Name	R. Reams Goodloe, Jr.		Reg. Number	32,466
Signature	Date	01/16/01	Deposit Account User ID	4035

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. EXPRESS MAIL LABEL NO.: ET052806242US

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below in an envelope as "Express Mail Post Office to Addressee" mailing Label Number ET052806242US addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

*Rhonda Goodloe*  
Signature of Depositor

Rhonda Goodloe  
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Applicant: MUKHOPADHYAY, Debasish

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Title: HIGH PURITY WATER PRODUCED  
BY REVERSE OSMOSIS

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JAN 23 2001  
TECHNOLOGY CENTER  
Art Unit: 1723  
Examiner: Fortuna, A.

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE THE MAILING DATE  
OF A FINAL OFFICE ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c)(2))**

The information disclosure statement submitted herewith is being filed before the mailing date of a final action or a notice of allowance, and is accompanied by the fee set forth in 37 C.F.R. § 1.17(p).

Date: January 16, 2001

Phone: 253-859-9128

Fax: 253-859-8915

Respectfully submitted,

*R. Reams Goodloe, Jr.*  
SIGNATURE OF ATTORNEY

R. Reams Goodloe, Jr.  
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Suite 3  
10725 - S.E. 256<sup>th</sup> Street  
Kent, Washington 98031-6426